

Item 1. **COVER PAGE**

Brochure Supplement

(Part 2B of Form ADV)

Connor Roggie

Investment Adviser Representative



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This Brochure Supplement (the “**Supplement**”) provides information about the supervised person identified herein, an Investment Adviser Representative (“**IAR**”) of Beacon Capital Management, LLC (“**Beacon**” and/or the “**Firm**”), that supplements the Firm’s Form ADV Part 2A (the “**Brochure**”). Please contact Ms. Jaimie Palmer, the Chief Compliance Officer of Beacon at 615-224-9794, if you did not receive the Brochure or if you have any questions about the content of this Supplement.

The information in this Supplement has not been approved or verified by the United States Securities and Exchange Commission (the “**SEC**”) or by any state securities authority. Additional information about all Supervised Person is available on the SEC’s website at www.adviserinfo.sec.gov. You can search this website by using the IAR’s CRD number referenced above.

June 2025

Connor Roggie, Investment Adviser Representative

- **CRD: 7122576**
- **Born: 1998**

Item 2. EDUCATIONAL AND BUSINESS EXPERIENCE

Generally, Beacon, requires employees to have relevant work experience in the securities industry. Any employee of Beacon acting in an IAR capacity will be appropriately licensed as such.

Education Background:

- Bachelor of Science – Finance and Business Management (2020)
Grove City College, Grove City, PA

Business Experience:

- **Investment Adviser Representative** (2023-Present)
Beacon Capital Management, LLC, Franklin, TN
- **Associate Paraplanner** (2021-2023)
Radnor Wealth Management, Brentwood, TN
- **Associate Financial Representative** (2019-2021)
Northwestern Mutual, Grove City, PA

Licenses/Certifications:

- **Insurance License** (2021)
Tennessee, State Board Systems – Insurance Producer
- **State Securities Exams**
Series 65 – Uniform Combined State Examination (2022)
- **Certified Financial Planner (CFP®)** (2023)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by the Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold the CFP® certification. It is recognized in the United States and a number of other countries for

its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- ❖ Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- ❖ Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- ❖ Experience – Complete at least three years of full-time financial planning-related experience under the supervision of a CFP® professional (or the equivalent, measured as 2,000 hours per year); and
- ❖ Ethics – Agree to be bound by CFP® Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3. DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4. OTHER BUSINESS ACTIVITIES

Mr. Roggie has been a licensed insurance agent since 2022. In his separate capacity as an insurance agent, Mr. Roggie may suggest you implement recommendations of Beacon Insurance & Life, LLC (“Beacon Insurance”), a wholly owned affiliated of the Firm, by purchasing disability insurance, life insurance, annuities, or other insurance products. He approximately spends about 5% of his time on these activities. From time to time, he may offer clients advice or products from those activities and Beacon Insurance will earn compensation for him selling such insurance products, including insurance products he sells to you. Compensation earned by

Beacon Insurance for these products is separate from the advisory fees the Firm receives from you.

These practices may present a conflict of interest because it gives the Firm, through Beacon Insurance, an incentive to recommend products based on the compensation amount received. We will explain the specific costs and commissions associated with any recommended insurance products and investments with you. Additionally, you have the option to purchase insurance products through other brokers or agents who are not affiliated with us. You are not required to do business with Mr. Roggie in any of these capacities.

Item 5. **ADDITIONAL COMPENSATION**

Also as noted in Item 4 above, Mr. Roggie receives additional compensation for his work as an insurance agent beyond the fee-based compensation he receives providing investment advice through our Firm.

Item 6. **SUPERVISION**

Mr. Roggie is an Investment Adviser Representative of Beacon. He is responsible for providing advice to clients. He is supervised by Dan Benson, Executive Vice President. Mr. Benson can be reached at 615-224-9794. We supervise Mr. Roggie by requiring that he adhere to our processes and procedures as described in our Firm's Compliance Manual and Code of Ethics and through monitoring his activities accordingly.